

FORM NL-37-DOWN GRADING OF INVESTMENT-2

Company Name & Code: ECGC LTD (124)

Statement as on: March 31, 2017

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value'Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹	NIL							
B.	<u>As on Date</u>								
1	10.10% Tata Power Co. Ltd 2018	IODS	15.00	24/Apr/2008	CRISIL	AA	AA-	17/Oct/2013	
2	9.40% Tata Power Co. Ltd 2022	IODS	5.00	9/Apr/2013	CRISIL	AA	AA-	17/Oct/2013	
3	9.55% Hindalco Ltd 2022	OLDB	5.00	30/Apr/2012	CRISIL	AA	AA-	31/Jul/2015	
4	9.60% Hindalco Ltd 2022	OLDB	5.00	5/Sep/2012	ICRA	AA	AA-	31/Jul/2015	
5	9.20% Central Bank of India 2017	OBPT	5.00	3/Mar/2008	CRISIL	AAA	A+	30/Mar/2017	
6	9.35% Central Bank of India 2018	OBPT	5.00	10/Feb/2009	CRISIL	AAA	A+	30/Mar/2017	
7	9.25% IPCA LABORATORIES LTD 2017	OLDB	2.50	20/Dec/2012	CRISIL	AA	AA-	28/Jun/2016	
8	9.40% Vedanta Ltd.	EPBT	5.00	30/Oct/2012	CRISIL	AA+	AA-	27/Jan/2016	
9	9.17% STERLITE INDUSTRIES (I) LTD 2023	EPBT	5.00	9/Jul/2013	CRISIL	AA+	AA-	27/Jan/2016	
10	8.60% Steel Authority of India Ltd. 2019	EPBT	10.00	3/Feb/2010	CRISIL	AA+	AA	31/Dec/2016	
11	8.75% Steel Authority of India Ltd. 2020	EPBT	15.00	29/Apr/2010	CRISIL	AA+	AA	31/Dec/2016	
12	8.72% Steel Authority of India Ltd. 2020	EPBT	10.00	30/Apr/2010	CRISIL	AA+	AA	31/Dec/2016	
13	9.35% Steel Authority of India Ltd. 2036	EPBT	5.00	20/Sep/2011	CRISIL	AA+	AA	31/Dec/2016	
14	9.30% Steel Authority of India Ltd. 2018	EPBT	5.00	4/Jun/2012	CRISIL	AA+	AA	31/Dec/2016	
15	9.18% Steel Authority of India Ltd. 2017	EPBT	5.00	4/Sep/2012	CRISIL	AA+	AA	31/Dec/2016	

Dated: June 13, 2017

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04